**NOTE**: To use Track Changes, turn off “protection” by clicking on (pre-MS Word 2007) Tools > Unprotect Document or (MS Word 2007 and higher) Review > Protect Document.

**PSS-Lite/Investigative Projects: Sections surrounded by a BOLD OUTLINE must be completed for approval of "Investigative Projects" (a.k.a PSS-Lite).**

1. Project Name and ID

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | | | |  |
| **C-CDA R2.1 Volume 3 Update – Advance Directives Templates Clarifications** | | | | Project ID: 1323 |
|  |  | TSC Notification Informative/STU to Normative | Date : | |
| **N/A** | | | |
|  |  | Investigative Project | Date : | |
| **Check this box when the project is investigative or exploratory in nature, which allows limited project scope definition. Sections in bold outline are mandatory for project approval of an investigative project; all other sections are optional. (Sections 1, 2, 3a, 3b, 3g [limited, 6b [if known], and 6c [applicable] are required).**  **Investigative Project specific instructions are yellow highlighted.**  **An investigative project must advance in two WGM cycles, requiring a full scope statement. Otherwise the project will be closed.** | | | | |

1. Sponsoring Group(s) / Project Team
   1. Primary Sponsor/Work Group

|  |  |
| --- | --- |
| Primary Sponsor/Work Group  **(1 (And Only 1) Allowed)** | **SDWG** |

* 1. Co-sponsor Work Group(s)

|  |  |
| --- | --- |
| Co-sponsor Work Group(s)  (Enter co-sponsor approval dates in Section 6.c Project Approval Dates) | N/A |
| Indicate the level of involvement that the co-sponsor will have for this project:   |  |  |  | | --- | --- | --- | |  | Request formal content review prior to ballot | | |  | Request periodic project updates. Specify period: | Monthly, at WGMs, etc. | | |  | Other Involvement. Specify details here: | Enter other involvement here | | | |

* 1. Project Team

|  |  |
| --- | --- |
| Project facilitator (**1** **Mandatory**) | **Lisa Nelson** |
| Other interested parties and their roles |  |
| Multi-disciplinary project team (recommended) |  |
| Modeling facilitator | **Lisa Nelson, Benjamin Flessner** |
| Publishing facilitator | **Lisa Nelson** |
| Vocabulary facilitator | **Rob Hausam** |
| Domain expert rep | David Tao, Scott Brown |
| Business requirement analyst | **Lisa Nelson** |
| Conformance facilitator (for IG projects) | Brett Marquard |
| Other facilitators (SOA, etc) |  |
|  |  |
| Implementers **(2** **Mandatory** for STU projects)  ***FHIR Project Note:*** *The implementer requirement will be handled by the “balloting” project. Therefore work groups do not fill out the above section. However, feel free to list implementers specific to your work group’s resources if you know of any.* | |
| 1) Epic | |
| 2) Cerner | |

1. Project Definition
   1. Project Scope

|  |
| --- |
| This project will examine issues identified with the Advance Directives Templates defined in C-CDA R2.1. It will develop new-version or substitute templates for the existing Advance Directive templates to address these problems and add more/clearer guidance within the templates to address implementer’s questions.  The following C-CDA R2.1 Templates are in scope:   1. Advance Directives Section 2. Advance Directives Organizer 3. Advance Directive Observation 4. US Realm Header (guidance on optional use of the ServiceEvent element)   The new template versions may affect value set content and binding. The goal is to be backward-compatible with C-CDA R2.1. However, some implementer feedback is questioning the technical changes that were made to the value set bindings in these templates between C-CDA R1.1 and C-CDA R2.1. Therefore, some value set related revisions may be non-backwards compatible in order to address this reported problem.  These Advance Directives refinement templates (proposed new-version or substitute templates) fall within the scope of C-CDA R2.1 Volume 3—the new volume of optional templates that may be used to augment C-CDA Documents. |

* 1. Project Need

|  |
| --- |
| Advance Directive Templates are (arguably) important components of the C-CDA standard, yet to date, their usage in the standard has been optional.  New focus within the industry on patient-centered care plans and value based care has raised implementer interest in including advance directive information that communicates the patient’s health goals and treatment preferences. This new interest has caused new trial usage of the existing Advance Directive Templates in C-CDA R2.1 and that use has led to reported problems and questions about their design and intended use.  For these reasons the intended uses for Advance Directive Templates need to be clarified, and the designs of Advance Directive Templates need to be revised. |

* 1. Security Risks

|  |  |  |  |
| --- | --- | --- | --- |
| Will this project produce executable(s), for example, schemas, transforms, style sheets, executable program, etc. If so the project must review and document security risks. |  |  | **Yes** |
|  | X | **No** |
|  |  | **Unknown** |

* 1. External Drivers

|  |
| --- |
| **None currently known – New focus on Patient Goals as an important part of information collection and exchange. Also, increased focus on sharing information that indicates if a person has an advance care plan or not and where the patient’s goals and preferences are documented.** |

* 1. Project Objectives / Deliverables / Target Dates

|  |  |
| --- | --- |
|  | **Target Date** |
| **Project Launch** | **Feb 10, 2017** |
| **Review Cycle (Dependent on C-CDA R2.1 Volume 3)** | **TBD – SDWG** |
| **Publication (Dependent on C-CDA R2.1 Volume 3)** | **TBD – SDWG** |

* 1. Common Names / Keywords / Aliases

|  |
| --- |
| C-CDA R2.1 Additional Volume 3 Templates - for Advance Directives Templates |

* 1. Lineage

|  |
| --- |
| The templates published in the updated C-CA R2.1 Volume 3 will supersede the templates in the C-CDA companion guide appendix. |

* 1. Project Dependencies

|  |
| --- |
| This project is dependent on the publication of C-CDA R2.1 Volume 3. |

* 1. Project Document Repository Location

|  |
| --- |
| **SDWG Wiki** |

* 1. Backwards Compatibility

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Are the items being produced by this project backward compatible? |  |  | Yes |  |  | No |  | X | Unknown |  |  | N/A |
|  |  |  |  |  |  |  |  |
|  | | | | | | | | | | | | |
| For V3, are you using the current data types?  (Refer to [TSC position statement on new projects using R2B](#TSC_position_statement_on_R2B) for more information on the current V3 data types) |  |  | Yes |  | X | No |  |  | Unknown |  |  | N/A |
|  | | |  | | |  |  |  |  |  |  |
| If you check 'No' please explain the reason: Based on CDA 2.0 | | | | | | | | | | | | |
| **Unknown at this time if backwards compatibility will be achieved fully. The goal is to minimize backwards compatibility issues to the extent possible while still achieving the clarifications needed to make meaningful use of the information in these templates going forward.** | | | | | | | | | | | | |

* 1. External Vocabularies

|  |  |  |  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Will this project include/reference external vocabularies? |  | X | Yes |  |  | No |  |  | Unknown |  |  | N/A |
|  |  |  |  |  |  |  |  |  |  |  |  |
| If yes, please list the vocabularies: See C-CDA and PACP | | | | | | | | | | | | |

1. Products (check all that apply)

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Non Product Project - (Educ. Marketing, Elec. Services, etc.) |  |  | V3 Domain Information Model (DIM / DMIM) |
|  | Arden Syntax |  |  | V3 Documents – Administrative (e.g. SPL) |
|  | Clinical Context Object Workgroup (CCOW) |  | X | V3 Documents – Clinical (e.g. CDA) |
|  | Domain Analysis Model (DAM) |  |  | V3 Documents - Knowledge |
|  | Electronic Health Record (EHR) Functional Profile |  |  | V3 Foundation – RIM |
|  | Logical Model |  |  | V3 Foundation – Vocab Domains & Value Sets |
|  | V2 Messages – Administrative |  |  | V3 Messages - Administrative |
|  | V2 Messages - Clinical |  |  | V3 Messages - Clinical |
|  | V2 Messages - Departmental |  |  | V3 Messages - Departmental |
|  | V2 Messages – Infrastructure |  |  | V3 Messages - Infrastructure |
|  | FHIR Implementation Guide |  |  | V3 Rules - GELLO |
|  | FHIR Profiles |  |  | V3 Services – Java Services (ITS Work Group) |
|  | FHIR Resources |  |  | V3 Services – Web Services (SOA) |
|  | New/Modified/HL7 Policy/Procedure/Process |  |  | White Paper |
|  | New Product Definition |  |  |  |
|  | New Product Family |  |  |  |

|  |
| --- |
| **N/A** |

1. Project Intent (check all that apply)

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
|  | Create new standard | | | |  |  | Supplement to a current standard | | |
| X | Revise current standard (see text box below) | | | |  | X | Implementation Guide (IG) will be created/modified | | |
|  | Reaffirmation of a standard | | | |  |  | Project is adopting/endorsing an externally developed IG: | | |
|  | New/Modified HL7 Policy/Procedure/Process | | | |  |  | Specify external organization in Sec. 6 below; | | |
|  | Withdraw an Informative Document | | | |  |  | Externally developed IG is to be (select one): | | |
|  | White Paper (select one): | | | |  |  | Adopted - OR - |  | Endorsed |
|  |  | Balloted Informative OR |  | Non-balloted WG White Paper |  |  | N/A (Project not directly related to an HL7 Standard) | | |

|  |
| --- |
| **Revision to C-CDA R2.1 Volume 3** |

* 1. Ballot Type (check all that apply)

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | Comment (aka Comment-Only) | | |  |  | Joint Ballot (with other SDOs) |
|  | Informative | | |  |  | N/A (project won’t go through ballot) |
| X | STU to Normative - OR - |  | Normative (no STU) |  |  |  |

|  |
| --- |
| **This is an STU update** |

* 1. Joint Copyright

*Check this box if you will be pursuing a joint copyright. Note that when this box is checked, a Joint Copyright Letter of Agreement must be submitted to the TSC in order for the PSS to receive TSC approval.*

|  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Joint Copyrighted Material will be produced? |  |  | Yes |  | X | No |  |  |

1. Project Logistics
   1. External Project Collaboration

|  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- |
| **N/A** | | | | | | | |
| For projects that have some of their content already developed: | | | | | | | |
| How much content for this project is already developed? | **100% (in other HL7 project)** | | | | | | |
| Was the content externally developed (Y/N)? | **If Yes, list developers** | | | | | | |
| Is this a hosted (externally funded) project?  (not asking for amount just if funded) |  |  |  |  |  |  | |
|  |  | Yes |  | X | No |

* 1. Realm

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  | Universal - OR - |  | **X** | Realm Specific |
|  | |  | **X** | Check here if this standard balloted or was previously approved as realm specific standard |
|  | | US Realm | | |

* 1. Project Approval Dates

|  |  |  |  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| Affiliate Approval Date (for Affiliate Specific Projects): | **N/A** | | | | | | | | |
| US Realm Steering Committee Approval Date  (for US Realm Specific Projects): | **2017-04-18** | | | | | | | | |
| Sponsoring Work Group Approval Date: | **SDWG 2017-03-09** | | | | | | | | |
| Co-Sponsor Group Approval Date  (Copy this entire row for each co-sponsor; indicate the specific cosponsor that issued approval) | **N/A** | | | | | | | | |
| FHIR Project: [FHIR Management Group](http://www.hl7.org/Special/committees/fhirmg/leadership.cfm) Approval Date: | **N/A** | | | | | | | | |
| Architectural Review Board Approval Date: | **N/A** | | | | | | | | |
| Steering Division Approval Date : | **2017-04-24** | | | | | | | | |
| |  |  |  |  |  |  |  | | --- | --- | --- | --- | --- | --- | --- | | Last Work Group Health: | x | Green |  | Yellow |  | Red | | [PBS Metrics and Work Group Health Reviewed](http://gforge.hl7.org/gf/download/docmanfileversion/7241/10172/PBSMetricGuidanceforSDCoChairs2013Final.doc)? (required for SD Approval if not green) | | | x | Yes |  | No | | | | | | | | | | |
| Technical Steering Committee Approval Date: | **2017-05-24** | | | | | | | | |
| TSC has received a Copyright/Distribution Agreement (containing the verbiage outlined within the SOU), signed by both parties. |  | | |  |  |  |  | |  |
|  |  | Yes |  | No | |  | N/A | |